



# Sarah Johnson

Mid-Level Lawyer

## CONTACT



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LinkedIn | Portfolio



Boston, MA 12345

## EDUCATION

### Juris Doctor (J.D.), Law

Columbia Law School, New York, NY  
September 2013 - May 2016

### Bachelor of Arts (B.A.), Economics

University of Michigan, Ann Arbor, MI  
September 2009 - May 2013

## KEY SKILLS

- Corporate governance
- Document negotiation
- Legal research and due diligence
- Mergers and acquisitions
- Securities regulations

## ABOUT ME

Strategic senior legal counsel and Chartered Financial Analyst (CFA) with experience in structured finance and securities law. Provider of structured products and legal support at financial institutions. Navigator of complex regulatory landscapes to reduce litigation risks through proactive legal oversight.

## PROFESSIONAL EXPERIENCE

### Senior Counsel | September 2020 - Present

O'Brien & O'Reilly LLC, Boston, MA

- Spearhead legal support for firm's structured products business
- Provide sound legal advice on over 60 product transactions annually while maintaining full compliance with U.S. and international regulatory standards
- Identify and mitigate legal risks of structured product offerings, which contributed to a 25% reduction in potential litigation cases in the last three years
- Facilitate cross-functional collaboration with internal teams to align on legal strategy for business operations
- Led the structuring and issuance of over \$800 million in structured notes and certificates of deposit
- Develop educational materials and conduct training sessions for sales teams

### Associate Attorney | June 2016 - September 2020

Hartz & Associates Legal Financial Advisors LLC, Boston, MA

- Advised on a range of structured finance transactions, which included securitizations and derivatives
- Executed over 200 securities issuance documents, ensuring 100% compliance with relevant securities laws
- Provided legal support for four major securitization deals, resulting in nearly \$250 million in assets
- Navigated the firm through two successful regulatory audits as primary legal advisor
- Collaborated with compliance and operations teams to adopt new regulatory technologies
- Conducted legal research and due diligence to identify potential legal risks and advise on mitigation strategies

## CERTIFICATIONS

- License to Practice Law in Boston, MA, American Bar Association | April 2016

- Certified Financial Analyst (CFA), CFA Institute | June 2015