

Your Name

City, State Abbreviation Zip Code | (123) 456-7890 | email@example.com | LinkedIn | Portfolio

Profile

- Strategic senior legal counsel and Chartered Financial Analyst (CFA) with more than five years of experience in structured finance and securities law. Provides legal support for structured products at prestigious financial institutions such as HSBC and Goldman Sachs. Navigates complex regulatory landscapes to reduce litigation risks through proactive legal oversight.

Education

- Juris Doctor (J.D.) Law | COLUMBIA LAW SCHOOL, New York, NY | September 2012 – May 2015
- Bachelor of Arts in Economics | UNIVERSITY OF MICHIGAN, Ann Arbor, MI | September 2008 – May 2012

Certifications

- License to practice law in New York, American Bar Association, 2015
- Chartered Financial Analyst (CFA), CFA Institute, 2015

Affiliations

- American Bar Association, Member, 2016
- New York State Bar Association, Member, 2015

Key Skills

- Communication and training
- Cross-functional collaboration
- Document negotiation
- Leadership
- Legal research and due diligence
- Risk management

Professional Experience

SENIOR LEGAL COUNSEL | HSBC, NEW YORK, NY | OCTOBER 2020 – PRESENT

- Spearhead legal support for HSBC's structured products business
- Provide sound legal advice on over 60 product transactions annually while maintaining full compliance with U.S. and international regulatory standards
- Develop educational materials and conduct training sessions for sales teams
- Identify and mitigate legal risks of structured product offerings which contributed to a 25% reduction in potential litigation cases in the last three years
- Facilitate cross-functional collaboration with internal teams to align on legal strategy for business operations
- Led the structuring and issuance of over \$800 million in structured notes and certificates of deposit

ASSOCIATE LEGAL COUNSEL | GOLDMAN SACHS, NEW YORK, NY | JUNE 2015 – FEBRUARY 2020

- Advised on a range of structured finance transactions, which included securitizations and derivatives
- Executed over 200 securities issuance documents, ensuring 100% compliance with relevant securities laws
- Conducted legal research and due diligence to identify potential legal risks and advise on mitigation strategies
- Provided legal support for four major securitization deals, resulting in nearly \$250 million in assets
- Navigated the firm through two successful regulatory audits as primary legal advisor
- Collaborated with compliance and operations teams to adopt new regulatory technologies